



INTERSTATE COMPACT: MONITORING COMPLIANCE & APPLYING RISK, NEEDS & RESPONSIVITY PRINCIPLES





GOALS AND OBJECTIVES

1

Comprehend the core principles and procedures governing the Interstate Compact, highlighting supervision and documentation expectations.

2

Distinguish between the Compact's retaking processes and traditional extradition procedures.

3

Review findings from a recent national assessment of cases, highlighting specific circumstances leading to retaking and retransfer.

4

Explore the future integration of RNR principles within the Interstate Compact process.



ICAOS regulates how supervised individuals are:

Transferred : Eligibility, Conditions, Supervision Plan

Supervised : Expectations for supervising state

Returned : When supervision is unsuccessful

Supervised individuals waive their rights to extradition when applying for transfer



Promote Public Safety

**Ensure Effective Supervision &
Rehabilitation**

Promote Victims' Rights

Control & Track Movement



ENSURE EFFECTIVE SUPERVISION & REHABILITATION



Positive Relationships & Family Support



Life Stability



Resources & Programs



Means of Support & Employment



Supervision Expectations

Consistent supervision standards

- Programs
- Incentives
- Sanctions
- Interventions

Documentation and regular communication

ICAOS ensures that supervised individuals receive the same resources and supervision as those sentenced within their own state.

A blue pen with a silver tip is positioned diagonally across the left side of the image. It rests on a document featuring a bar chart with several blue bars of varying heights. The background of the entire image is a solid dark blue.

Compliance

- Reporting & Monitoring
 - Frequency and Method in Transfer Terms
 - SI Agrees to Terms
 - Special Registration May Apply
- Notification
 - Departure & Arrival
 - Withdrawal of Reporting Instructions
- Documentation
 - Compact Action Request
 - Progress Report
 - Violation Report
- Arrest & Detain
 - Retaking v Extradition

Poll Question



- Since 2013, 40% of total retakings ultimately result in retransfer.
- Since 2021, there's been an unprecedented increase in the number of 'residents' being retaken and retransferred for the first time in history.



ASSESSMENT RESULTS

Who's being retaken/retransferred?

- 31% on supervision for drug offenses
- 62% are residents of the receiving state
- 33% have supportive family in the receiving state

Type of retaking

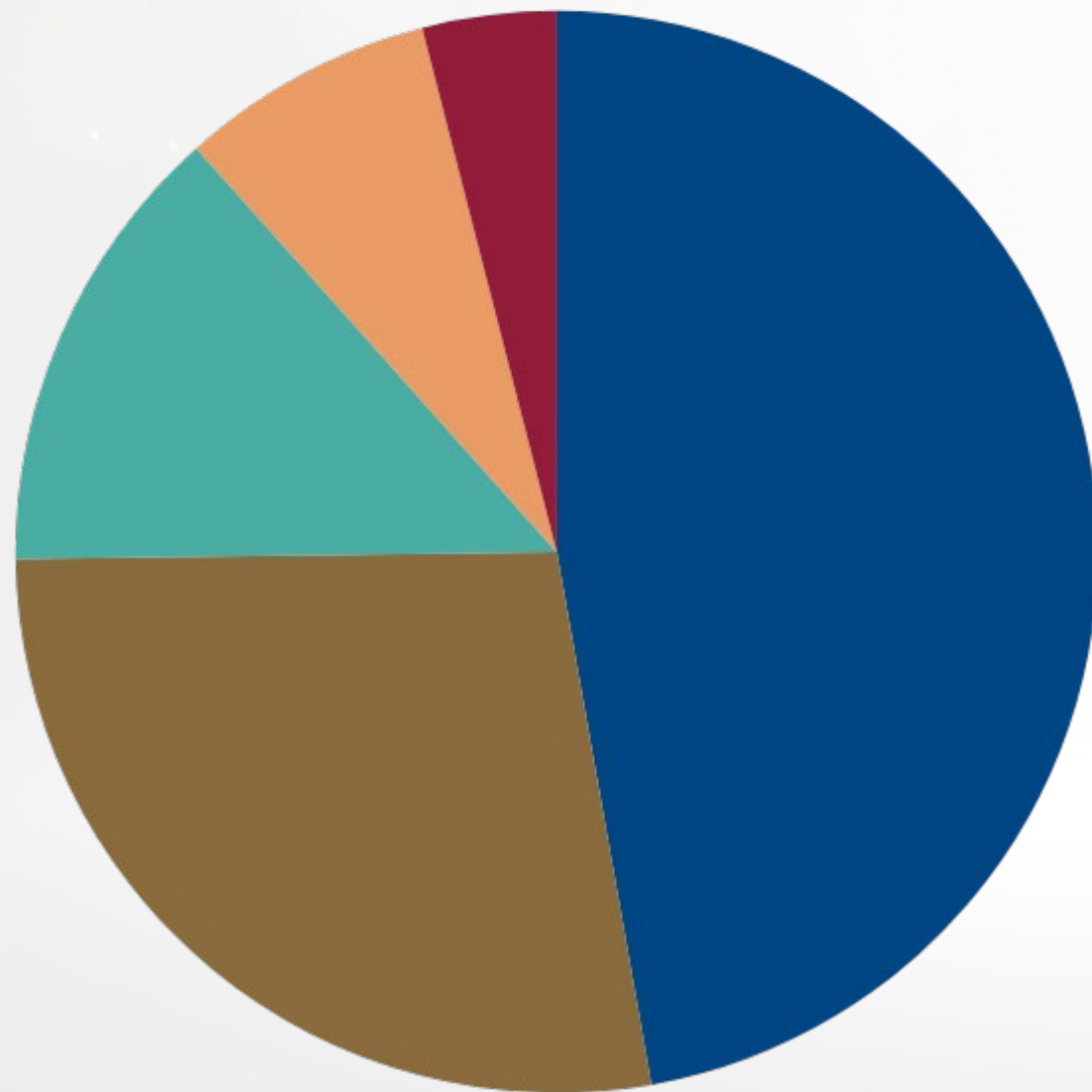
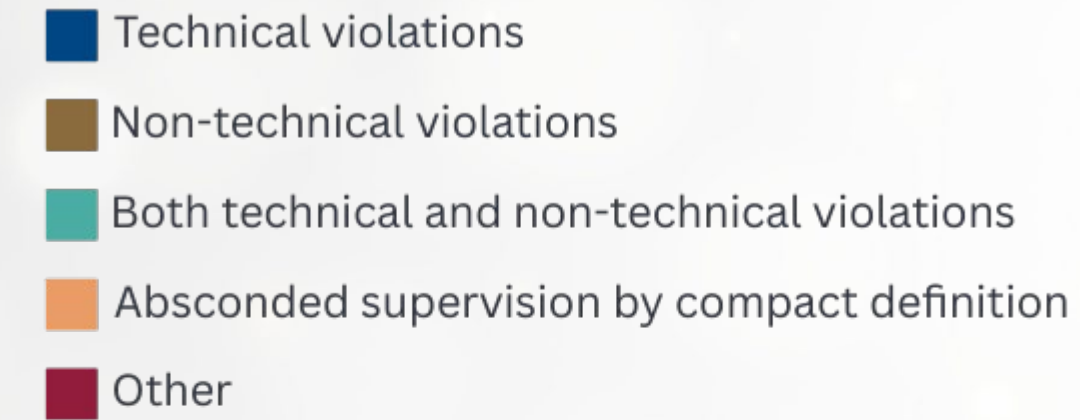
- 64% retaking initiated by receiving state (Mandatory Retaking)
- 36% retaking initiated by the sending state (Discretionary Retaking)



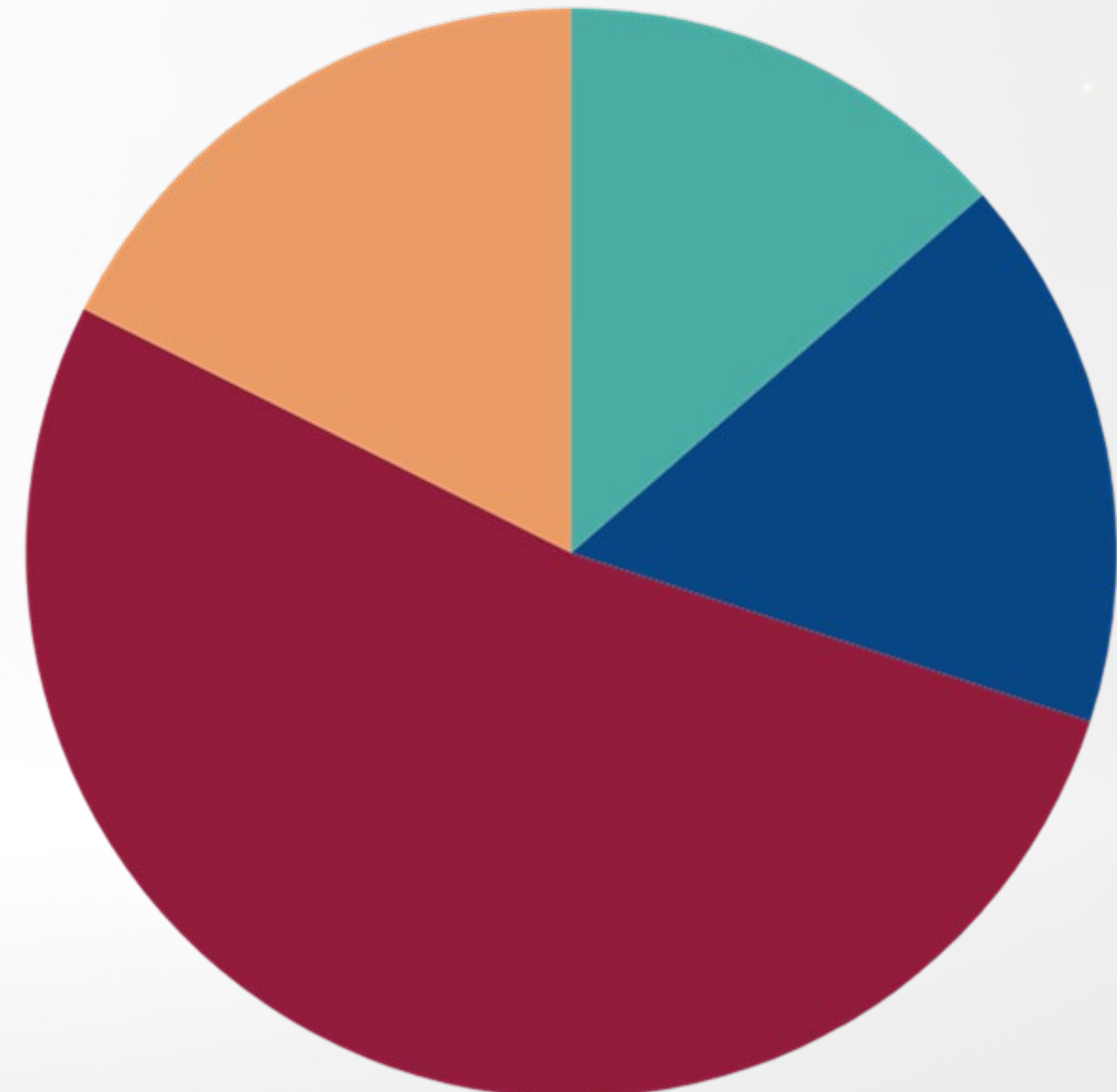
Poll Question



VIO LATIONS PROMPTING RETAKING



PC ESTABLISHED PRIOR TO RETAKING?



1

Align ICAOS resources with risk, need, and responsivity principles.

2

Determine how RNR principles can be applied in the decision-making process for retaking.

3

Determine rule impact for applying RNR principles.

4

Identify concerns related to application of RNR in retaking.

CHARGE OF THE ICAOS RISK, NEEDS, RESPONSIVITY WORKGROUP

FY25 ASSESSMENT GOALS

Identify Systemic Issues: Is retaking used as a punitive measure?

Resource Allocation: Is the practice of retaking/retransferring efficient?

Improve Supervision Practices: Do supervision practices need to be improved?

Informs Policy Decisions: Do ICAOS rules or state policies need to be improved?



RESPONSIVE APPROACHES



1

Prompt individualized interventions to enhance engagement and compliance

2

Clear and positive reinforcement to foster trust, opportunities and motivation to improve

3

Connect with individual to promote long-term stability

4

Utilize restorative justice practices using fair and transparent procedures

Address underlying reasons for non-compliance

Client Profile

- Veteran on felony probation – possession of controlled substance
- Transferred to Iowa – care for aging family member – full-time employed
- Required weekly reporting and complete cognitive behavioral program

Compliance Issues

- Within 2 months, missed 2 group sessions
- Failed to report to probation once

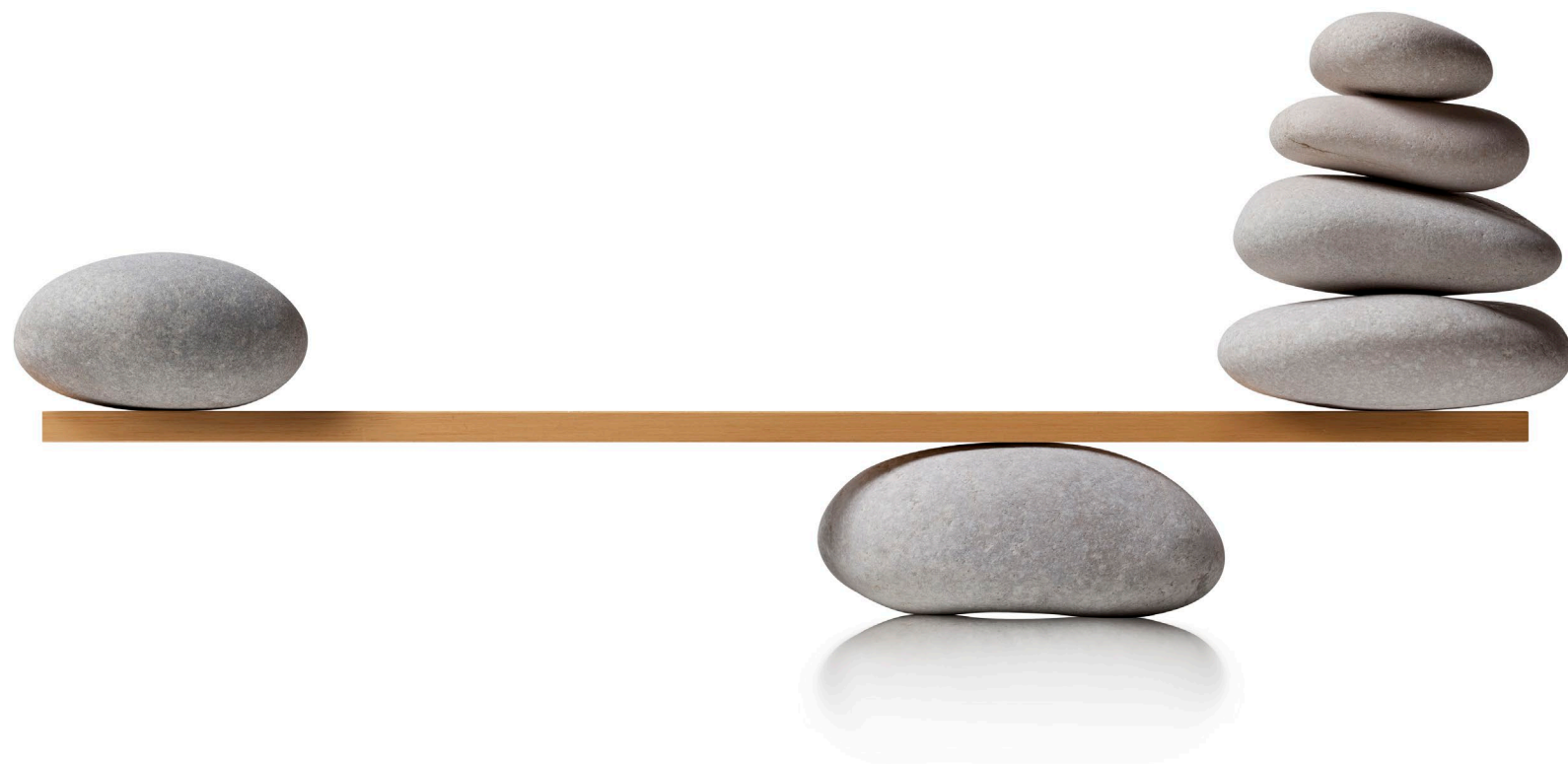


Traditional Response

- Probation officer requests revocation and retaking
- Loss of employment
- Mother in nursing home
- Increased system cost and loss of social stability

Responsivity Response

- Connect to VA services for behavioral and health support
- Adjust supervision to allow remote phone check-in
- Link to veteran and caregiver support services
- Consider caregiver role as a ***stabilizing factor***
- Decreased system cost – employment and family stability maintained



VISION ↑

MISSION ←

VALUES →

1


How are RNR principles being used in the retaking process?

2

How can states ensure they are implementing RNR principles consistently and effectively?

3

How can the Compact adapt to align with RNR principles to address the diverse needs of individuals?



*what's
next?*

Create standardized violation matrix to:

- Reduce ambiguity and support transparent decision making
- Make evidence-based improvements to ICAOS rules and processes

In consultation with:



Center for Effective Public Policy



ICAOS

www.interstatecompact.org