



Interstate Compact: Improving Supervision through Collaborative Partnerships

Interstate Compact for Adult Offender Supervision

Presented by:

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Presentation Objectives



Learn about the principles and purpose of the Interstate Compact



Varied types of stakeholders & how they fit with the Interstate Compact



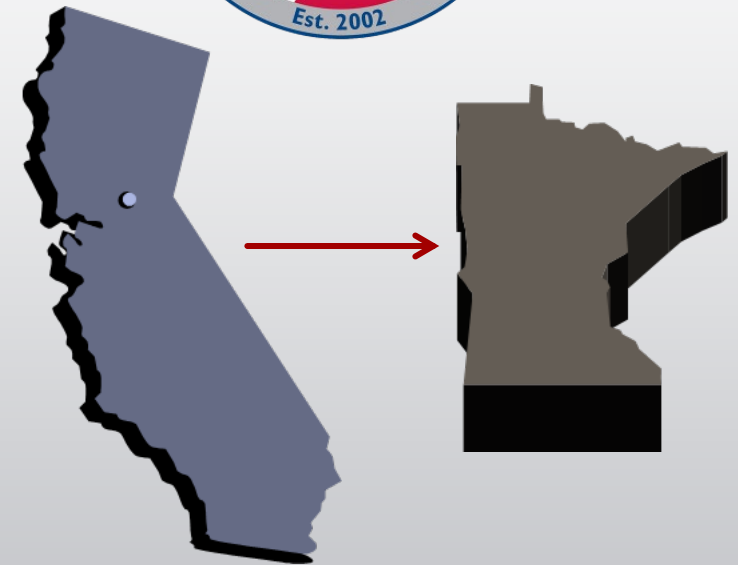
Increasing engagement with ex officio and other in-state partners



Reflect on how each state's compact office is a resource and point of contact

ICAOS regulates how supervised individuals are:

- › Transferred from one state to another
- › Supervised while on Compact Supervision
- › Returned to a sending state when supervision is not successful



Purposes of the Compact

Promote Public
Safety



Ensure Effective
Supervision &
Rehabilitation

Protect the Rights
of Victims

Control/Track
Movement of
Supervised
Individuals

Promote Successful Supervision

Positive
Relationships &
Family Support

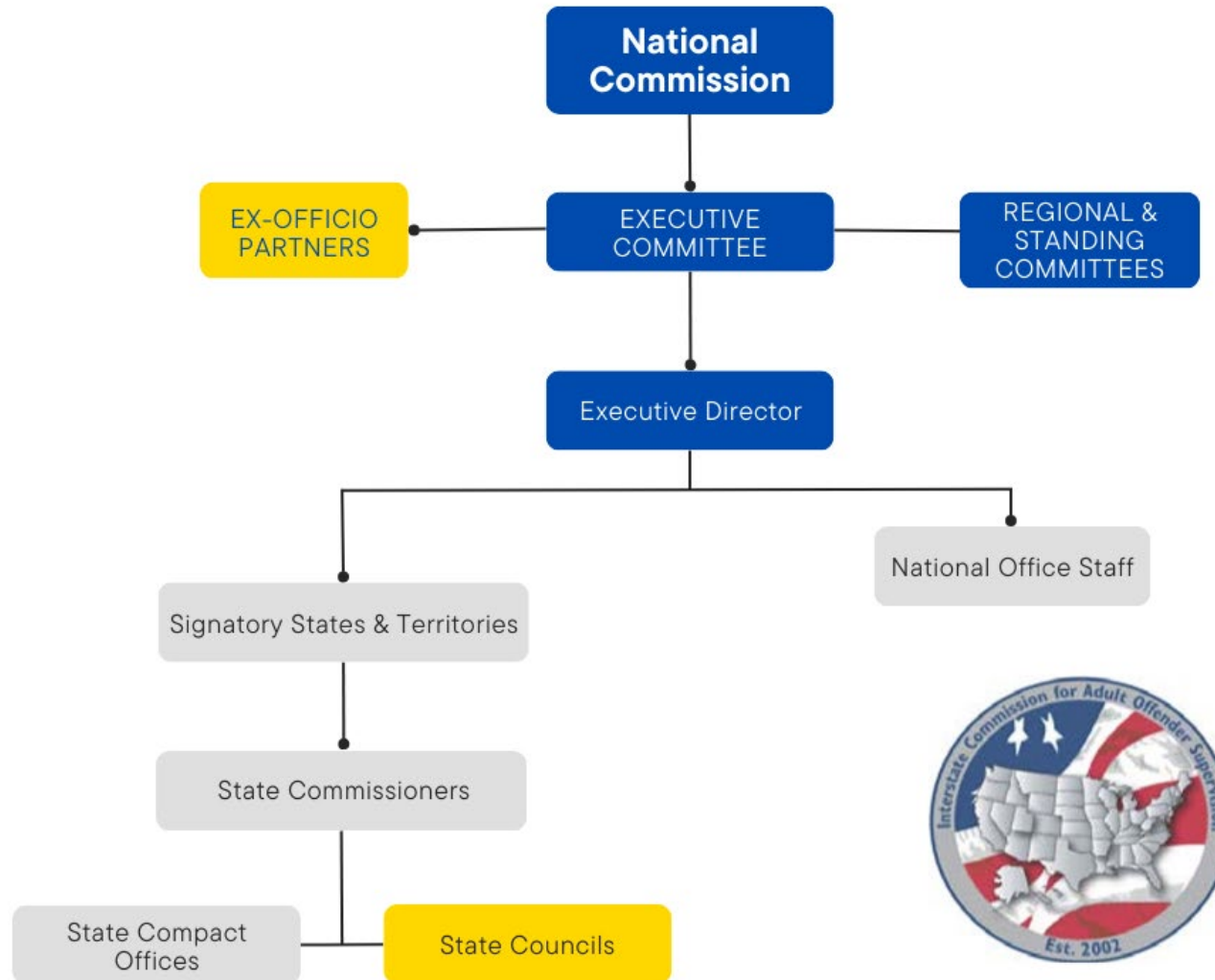
Resources/Programs

Ensure
Effective
Supervision &
Rehabilitation

Life Stability

Means of Support &
Employment

Where do partners fit in?



State Councils

State Council

— Governor Representative

— Legislative Representative

— Judicial Representative

— Victims Advocate

— Other Appointed Members

- › Provide management assistance to commissioners and compact offices
- › Formulate policy agenda
- › Educate local constituencies
- › Advocate for compact policies and procedures
- › Many appoint their state commissioner

Ex Officio Partners

- › Act as communication conduit between organizations
- › Contribute information or expertise to the Commission
- › May serve on standing committees
- › Invited to attend the Annual Business Meeting to inform Rulemaking and other Commission business

Current Ex Officio Member Organizations

- American Jail Association (AJA)
- American Probation and Parole Association (APPA)
- Association of Paroling Authorities International (APAI)
- Association of Prosecuting Attorneys (APA)
- Conference of Chief Justices (CCJ)
- Conference of State Court Administrators (COSCA)
- Interstate Commission for Juveniles (ICJ)
- National Association for Public Defense (NAPD)
- National Association of Attorney General (NAAG)
- National Association of Police Organizations (NAPO)
- National Conference of State Legislatures (NCSL)
- National Criminal Justice Association (NCJA)
- National Governors Association (NGA)
- National Institute of Corrections (NIC)
- National Organization for Victim Assistance (NOVA)
- National Sheriffs' Association (NSA)
- National District Attorneys Association (NDAA)



Building Strong Partnerships

“The most important thing we do is communicate with our state council.” **Commissioner Tom Langer**

How to Create Collaboration



- Regular communication including annual meetings with state council partners
- Annual outreach to evaluate opportunities for collaboration, learning, and conference attendance
- Invitations to review, contribute, and edit Commission materials & training
- Development of partner-focused training
- New initiatives aimed at connecting partners directly with Commission leaders



Collaborative Solutions

Working together to enhance public safety

Language Matters



**Supervised
Individual**

➤ Terminology Used

- Replacing 'offender' with 'supervised individual'
 - Removes stigmatizing negative connotations
 - Promotes recapture of self-worth
 - Promotes successful re-entry
 - Person-centered approach focused on rehabilitation following incarceration
- ## ➤ Research-based

“When we are not called mad dogs, animals, predators, offenders and other derogatory terms, we are referred to as inmates, convicts, prisoners and felons—all terms devoid of humanness which identify us as “things” rather than as people.” **Eddie Ellis**

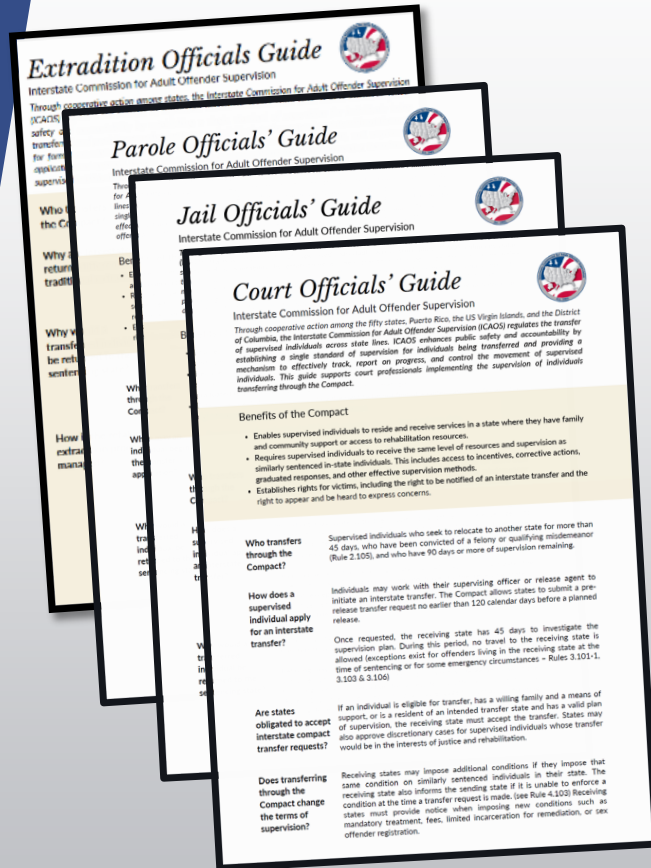
➤ Adopted changes

- New publications, proposed for existing Rules, New Rules, & Webspaces
- Does not affect statutes, “sex offenders”, or the Commission name

➤ Partner Involvement

- Best practices surveyed from ex officio partners
- National victims’ organization input
- State Council feedback, including parole board members

Practice-Oriented Publications



➤ ICAOS Quick Reference Guides:

- Courts
- Jails
- Transport/Extradition Teams
- Parole Officials
- Hearing Officers
- Electronic Signatures

Benefits of Publications Training



Quick Reference Guides

[Court Officials' Guide](#)

Court Officials' Guide

This guide supports court professionals implementing the supervision of individuals transferring through the Compact.

Topics addressed within the guide include:

- Who transfers through the Compact;
- How does a supervised individual apply for interstate transfer;
- Are states obligated to accept interstate compact transfer requests;
- Does transferring through the Compact change the terms of supervision;
- Can individuals relocate without using the Compact;
- How does the use of the Compact affect the use of extradition proceedings;

[View Guide](#)

[Electronic Signature Guide](#)

ICADS Practice Guide: Electronic Signatures

This practice guide assists Compact offices in navigating procedures for electronic signatures.

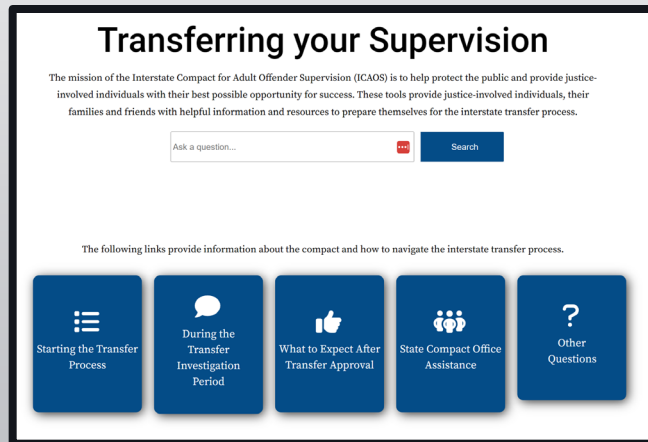
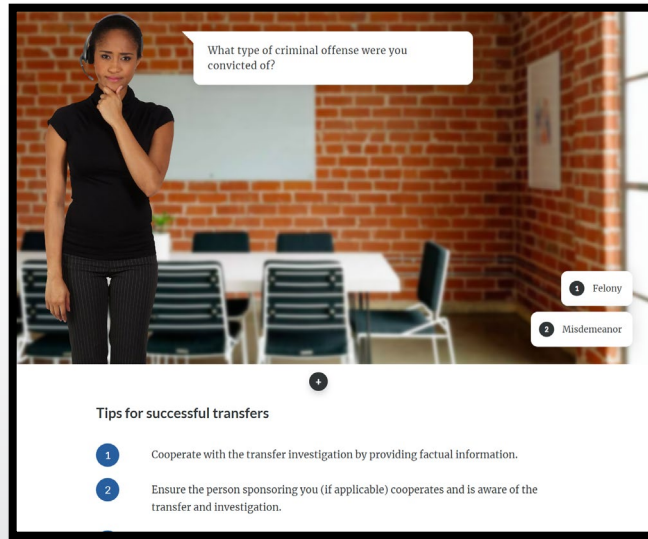
- Knowing who may transfer, when an application may begin, and when travel may occur
- Retaking processes that observe due process rights helps in revocation hearings
- Detainers and hearings are timely, protecting individual liberties and ensuring public safety
- Extraditions handled properly



➤ Documentary Video

- Collaboration with NIC
- Story of how the Compact affects individuals
- Breaks down stigmas
- Inspirational and everyday journeys of actual supervised individuals
- Educates supervised individuals
- Educates public on community supervision and interstate transfers
- Thousands of downloads





➤ Stakeholder Training & Toolkits

- How can the Compact assist Stakeholders?
- How can Stakeholders assist the Compact?
- What toolkits or trainings would promote successful transfers, benefitting public safety?

➤ Better training

- better outcomes in supervision
- enhanced public safety

➤ Better tools = empowered individuals

- Supervised individuals learn how to construct their transfer request
- Leads to reduced transfer rejections

➤ Warrant Notification Project

- Partnership with National Consortium for Justice Information & Statistics as well as Interstate Compact for Juveniles
- Triggers a notification for subscribers when warrants are checked through the NCIC Wanted Persons File
- Notice also occurs if a law enforcement encounter triggers a wants and warrants check
- Individuals with unknown outstanding issues can work with officers on a plan

JRI GRANT
Automated Notification Service

An automated subscription notification service (SNS) that sends notifications to supervision officials when arrest warrants are issued for offenders whose supervision has been transferred to another state.

HOW DOES IT WORK

- States subscribe to the NLETS (National Law Enforcement Telecommunication System) hosted notification service that executes CJIS queries at the state-level environment.
- The service establishes an automated and suitable warrant status 'check' for compact offenders.
- The notification process involves monitoring for a triggering event, either the issuance of an arrest warrant or contact with law enforcement in another state, and then alerting the subscriber.

WHY SUBSCRIBE?

There is no automated or reliable way for states to check the warrant status for the 56,000 compact offenders that transfer their supervision each year.

Supervision officials have no means to learn that a warrant is issued without specifically querying national wanted person systems.

WHAT ARE THE BENEFITS

- Receiving state supervision officials are notified when a warrant is forwarded to the NCIC wanted persons file.
- Notices also occur if a compact offender has an encounter with law enforcement that prompts a wants and warrants check.
- Offenders, including non-compact offenders, are automatically entered into the NCIC 'supervised release file, broadening the scope of 'triggering events' monitored by the SNS.

HOW TO SUBSCRIBE

One
The state's ICADS commissioner and CJIS security officer conduct an initial meeting with the ICADS national office and SEARCH.

Two
Enter into a management control agreement using an established template that may be modified based on individual state requirements.

Three
Allow SEARCH to establish a connection with the state's messaging system.

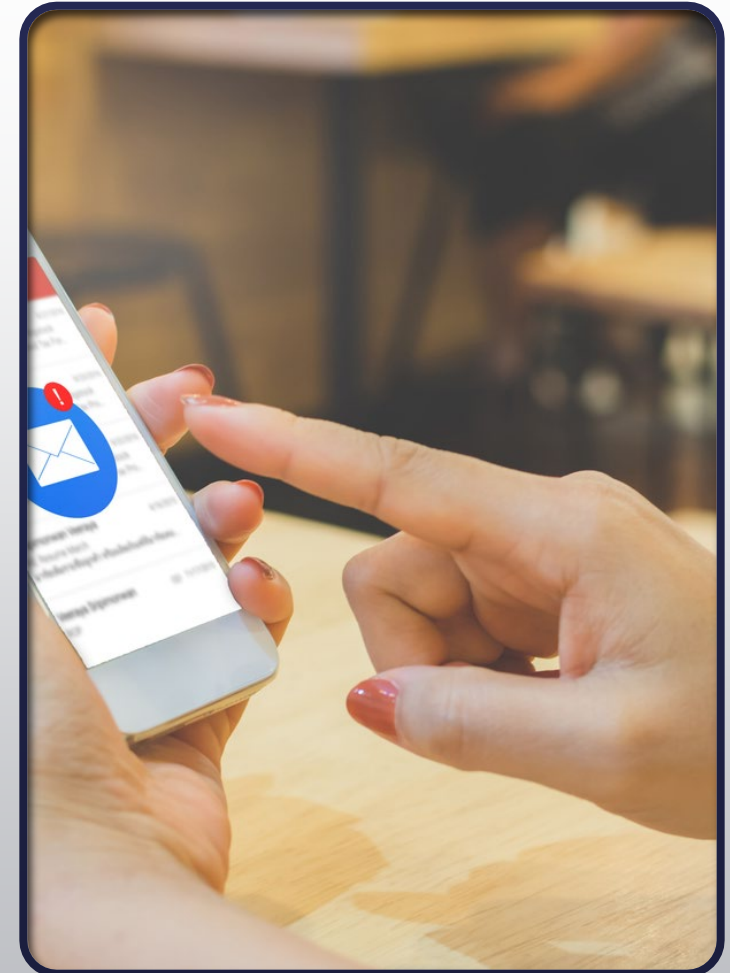
“Within the first week of receiving notifications, we discovered four offenders who had outstanding warrants. Three were from states that had initiated discretionary retaking without notice, and one was a local warrant issued by a third state. This service has enormous public safety benefits.”

Dori Littler
Commissioner, AZ

“Within the first week of receiving notifications, we discovered four [supervised individuals] who had outstanding warrants. Three were from states that had initiated discretionary retaking without notice, and one was a local warrant issued by a third state.” **Arizona Commissioner, Dori Littler**

Direct Communication Established

- NOVA requested a direct tool to support and give voice to victims and families of victims
- ICAOS-help@trynova.org was developed
- Monitored by Victim Advocates and the ICAOS National Office
 - Provides research on ICOTS cases
 - Most responses occur within one business day
 - Provides insight on victim questions and needs





Presented by:
Interstate Commission for Adult Offender Supervision
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Round Table Series

Remote Sentencings and Hearings

Roundtable Collaboration

- Ex officio partners collaborate on special topics - Remote Sentencing & Hearings - Use of Electronic Signatures
 - Educates ICAOS members, including compact office staff
 - Educates partner constituencies
 - Builds understanding between organizations
 - Leads to more successful transfers
 - Better outcomes include individual success and public safety

Case Example 1

Remote Sentence: Sex Offender

- › Sex offense committed in sending state
- › Offender moves to receiving state
- › Charges filed for sex offenses: offender never arrested
- › Sending state contacts receiving state in anticipation of remote sentencing
- › Offender sentenced via zoom while at residence in receiving state: convicted of misd sexual assault, sentenced to 6 years probation
- › Reporting instructions are granted, and transfer gets approved
- › Offender never left receiving state

State Council Collaboration Examples



➤ Arizona

- Some supervised individuals had two counts receiving probation & parole
- Potential to report to two different officers
 - Confusing, costly, time-consuming
 - Heightened absconding
 - Reduced supervision success
- Council members were engaged, including parole
 - State policy adopted requiring only one reporting responsibility for received and sent individuals
 - Absconding reduced, supervision success rates improved

State Council Collaboration Examples

➤ Florida



- Within the first year of functional council, established an application fee through council advocacy
 - Funds directed to local sheriffs - pays for retaking costs
 - Directly reduced resistance of local authorities upholding compact obligations
 - Individuals benefit from reduced time held awaiting transfers
 - Parole benefits from the safe return for disposition within the state
- Rules are discussed and vetted
 - Commissioner no longer a lone decisionmaker
 - Parole perspective more broadly represented
 - Parole aware of interstate compact changes in timely fashion

Direct Involvement with Parole



➤ South Dakota

- Parole case committee reviews revocation recommendations for BOTH in-state and compact cases
 - Ensures consistency and compliance w/ ICAOS Rules
 - Technical violations rarely result in revocation in South Dakota
 - Retaking is rarely initiated for interstate cases supervised in South Dakota

Direct Involvement with Parole



➤ Missouri

- Two parole analysts works closely with the Interstate Office
 - Familiarity with ICAOS Rules & Processes
 - Anticipates board questions for retaking cases and out-of-state violation documentation
 - Share information between parole and compact office

Direct Involvement with Parole

➤ Nebraska

➤ Parole Board Member on State Council

- Behavior Management System, utilizing an incentives/sanctions matrix, promotes consistency in supervision of in & out-of-state cases
- Ensures Nebraska documents all efforts to bring supervised individuals into compliance before requiring retaking
 - Allows Board of Parole imposed custodial sanctions of up to 60 days
(not a requirement that any or all be imposed prior to retaking)



Direct Involvement with Parole



➤ Ohio

- Redeveloped Violation Matrix to Consider Compact Cases
 - Close partnership and relationship with Interstate Office, Parole Board, and hearing officers
 - Hearing officers qualify that a supervising officer has exhausted efforts to ensure retaking for a supervised individual reaches the level of revocation

Direct Involvement with Parole

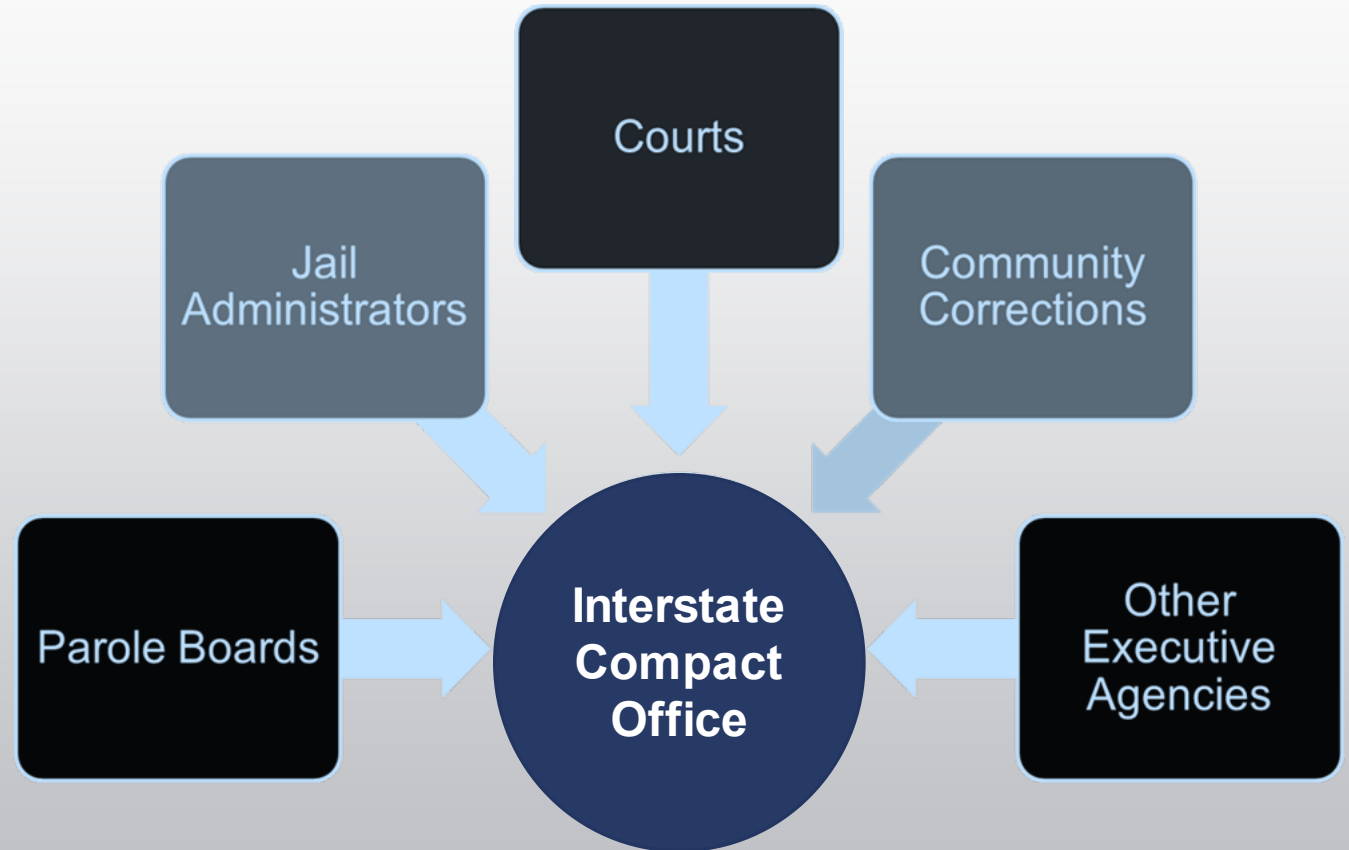
➤ Minnesota

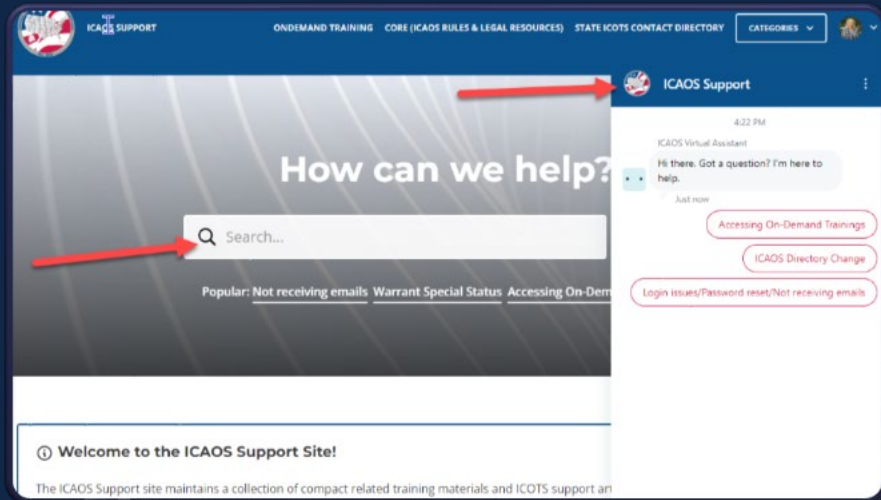


- Hearing & Release Unit using standardized risk-based Prehearing Worksheet
 - For incoming cases: Apply worksheet to compact cases to determine whether to resume supervision or pursue retaking
 - For outgoing cases: When violation does not meet the criteria for a revocation hearing, MN communicates with the receiving state to avoid retaking when no chance of a return to an institution is known

Interstate Compact Offices

- Administers the Compact
- Point of contact for case-specific questions
- Conducts training
- Resolves issues with other states
- Ensures rule compliance
- Develops & recommends in-state ISC operating procedures to the State Council





<https://www.interstatecompact.org/core-search>
<https://www.interstatecompact.org/bench-book>
<https://support.interstatecompact.org/hc/en-us>



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Questions and/or Suggestions?

