



# Interstate Compact: Ensuring Successful Supervision through Cooperative Solutions

Interstate Compact for Adult Offender Supervision

*Presented by:*

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# Presentation Objectives



Learn principles and procedures of the Interstate Compact



Share effective problem-solving strategies



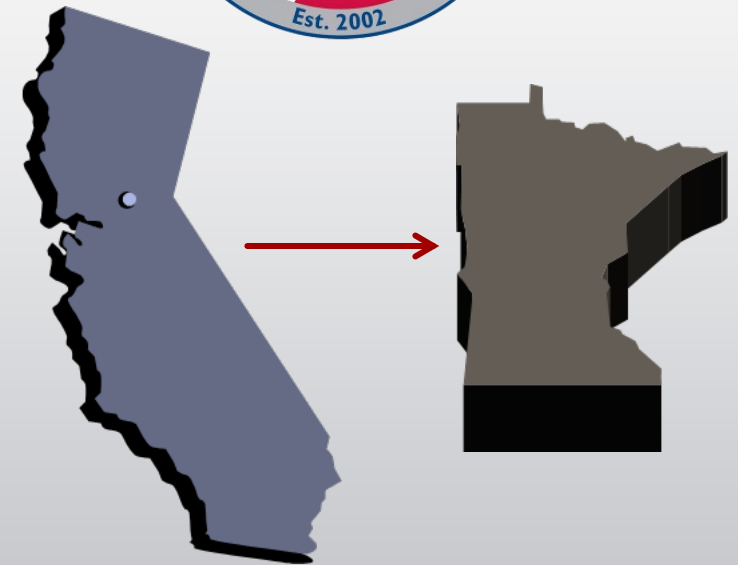
Demonstrate how to improve efficiencies in the transfer process and how to measure those efficiencies



How your state's compact office is a resource and point of contact

ICAOS regulates how supervised individuals are:

- › Transferred from one state to another
- › Supervised while on Compact Supervision
- › Returned to a sending state when supervision is not successful



# Purposes of the Compact

Promote Public  
Safety



Ensure Effective  
Supervision &  
Rehabilitation

Protect the Rights  
of Victims

Control/Track  
Movement of  
Supervised  
Individuals

# Promote Successful Supervision

Positive  
Relationships &  
Family Support

Resources/Programs

Ensure  
Effective  
Supervision &  
Rehabilitation

Life Stability

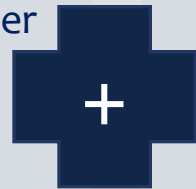
Means of Support &  
Employment

# What Triggers the ICAOs?

## Offender

Adult who commits a criminal offense (all felonies & specific misdemeanants)

- › Subject to ‘supervision’; and
- › Released to the community under the jurisdiction of:
  - › Courts
  - › Paroling Authorities
  - › Corrections
  - › Other Criminal Justice Agencies



## Supervision

2 Distinct criteria:

- › Oversight exercised by a controlling authority, which includes:
  - › Courts
  - › Paroling Authorities
  - › Corrections
  - › Other Criminal Justice Agencies
- › Required to monitor regulations or conditions, other than monetary



## Relocate

means to remain in another state for **more than 45 consecutive** days in any 12 month period.

# What Requirements for Transfer?



1

## Time Remaining on Supervision

≥ 90 calendar days or an indefinite period of supervision remaining

2

## Valid Plan

- › Terms & conditions of supervision
- › Residence
- › Employment/Mean of Support

3

## Substantial Compliance

No initiation of revocation proceedings by Sending State

4

## Justification/Reason

- › Resident
- › Family
- › Employment
- › Military Orders
- › Discretionary/ Correctional Sense

# Compact Supervision in a Receiving State



Supervision standard consistent with similar offenders sentenced in the Receiving State

Same programs, incentives, sanctions/interventions

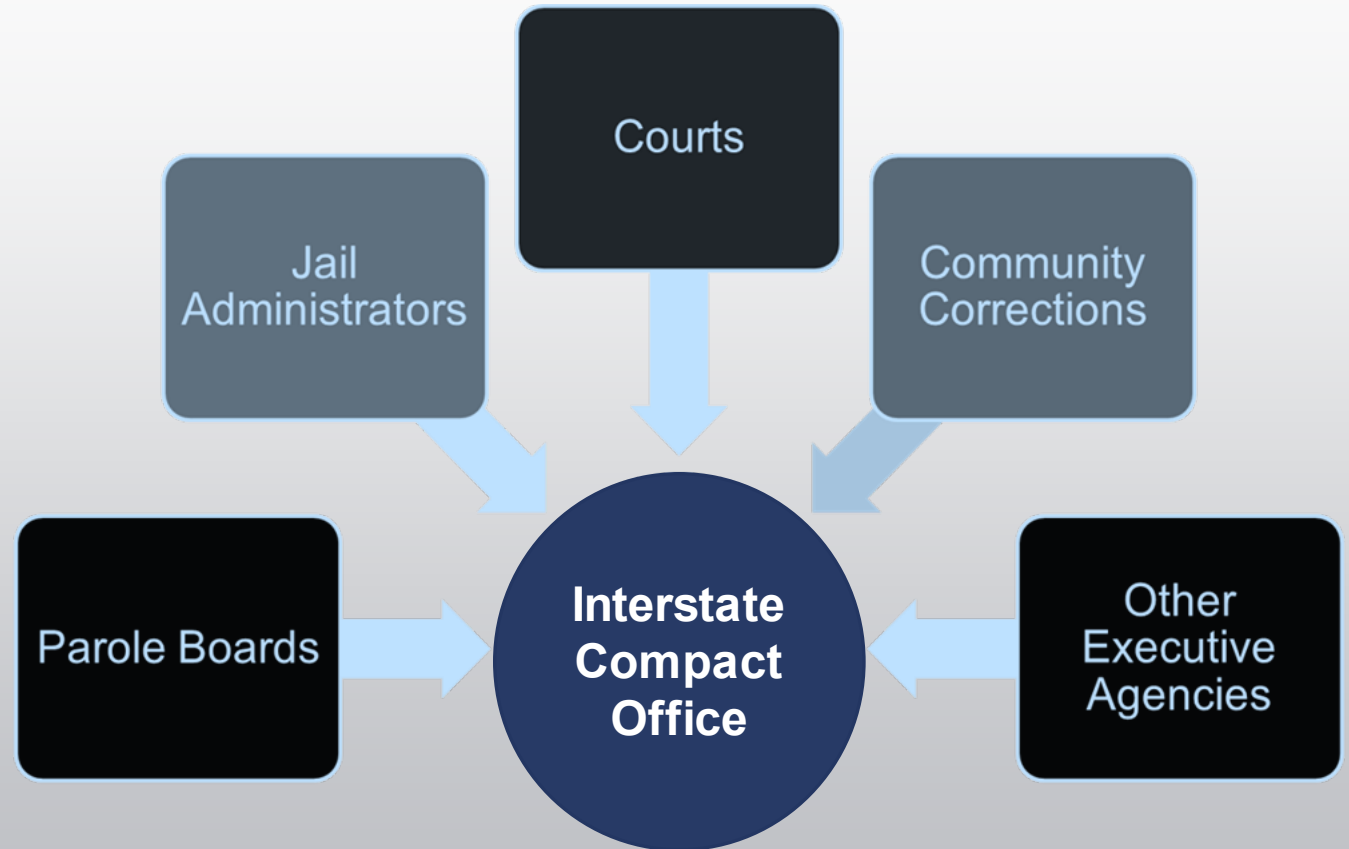
*'Participation in the ICAOS ensures not only the controlled movement of offenders under community supervision, but also that out-of-state offenders will be given the same resources and supervision provided to similar in-state offenders including the use of incentives, corrective actions, graduated responses and other supervision techniques'*





# Interstate Compact Offices

- Administers the Compact
- Point of contact for case specific questions
- Conducts training
- Resolves issues with other states
- Ensures rule compliance
- Develops & recommends in-state ISC operating procedures to State Council





# Collaborative Solutions

States working together

## Remote Sentence: Sex Offender

- › Sex offense committed in sending state
- › Supervised individual moves to receiving state
- › Charges filed for sex offenses 8 yrs after offense: *supervised individual never arrested*
- › Sending state contacts receiving state in anticipation of remote sentencing
- › Remotely sentenced while at residence in receiving state: *convicted of misd sexual assault, sentenced to 6 years probation*
- › Reporting instructions are granted, and transfer gets approved
- › Probationer never left receiving state

## Remote Sentence: Absconder

- › Supervised individual absconds receiving state
- › Sending state issues warrant
- › Individual apprehended on sending state's warrant in receiving state
- › PC established
- › Sending State wants to address the violation via remote hearing
- › Hearing results in extension of supervision, not revocation
- › Retaking does not occur, supervision resumed

Parolee with substantial mental health needs was sentenced to parole directly from jail with virtually no re-entry planning. Family (his only viable means of support and thought to be acting in his best interest) was not aware of compact and took him to their home in a state hundreds of miles. As soon as the sending state was aware of his location, the DCA contacted the DCA in the receiving state and reporting instructions were immediately granted. Without this action, the risk that the parolee would abscond was likely increasing public safety concerns.

## DCA Cooperation

*Without this action, the risk that parolee would abscond was likely increasing public safety risks*

Sending state received a request for return reporting instructions following a rejection due to lack of a valid plan of supervision which under the rules must be approved. A concern was raised regarding this return and elevated to the sending state DCA for review. Upon investigation, there appeared to be some unclear/conflicting ICOTS transfer documentation regarding the transferee's plan of supervision; DCAs worked together to determine where this individual needed to be confirming the plan of supervision was in fact valid, although was not documented well.

## Questionable Documentation

### *Lessons learned by BOTH States*

- *Sending State needs to improve verification/documentation practices*
- *Receiving State needs to improve communication, review practices before jumping to automatic rejection & return*

An immigrant sex offender was released from prison and immediately detained by ICE. ICE decided not to deport, resulting in his release in a state where he had no resources and was challenged with substantial language barriers to understand compact requirements. Following his release, his family picked up took him to RS hundreds of miles away. Upon approval of emergency reporting instructions, it was discovered all of his family members lived in highly restricted areas in which sex offender may not reside.

## PO Applying Compact Purposes

*Instead of rejection and displacement of a sex offender, receiving state PO utilized resources to best protect the public and ensure transferee is in close proximity to his support network*

# Developing Practices to Promote Change



- What practices does your state use to address violations?
- How do these practices fit into compact cases?
- What practices focus on successful outcomes?

**Reducing Barriers to Success**



## Commission Initiatives

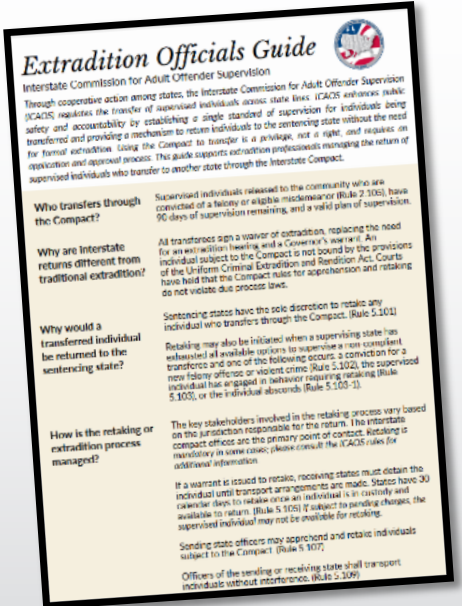
- Terminology Used
  - Replacing the term 'offender' with 'supervised individual'
- ICOTS Training Improvements
  - OnDemand Training
  - Quick Reference Guides
  - Quick Tips
  - Virtual Assistant
- Risk Factors: Analyze rules & procedures to ensure alignment w/Evidence Based Practices.
  - Supervision & retaking



# Compact Office/State Initiatives

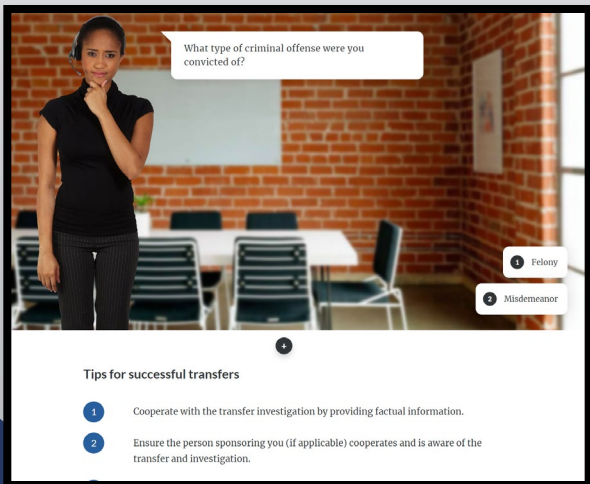
- Improve Communication
  - Improve quality & quantity w/ both Internal & External Agencies
- Enhance stakeholder involvement
  - Courts/Release agencies
  - Supervising agencies
  - Victims
  - Extradition teams/jails
- Highlight Core Correctional Practices in ISC Training
  - Effective reinforcement,
  - Effective disapproval, and
  - Effective use of authority





# › ICAOS Quick Reference Guides:

- › Courts
- › Jails
- › Transport/Extradition Teams
- › Probationer/Parolee: Work WITH individuals transferring. Understanding of the process & cooperation promotes acceptances.



# › Stakeholder Training: Keep Collaborative

- › How can Compact assist?
- › How can Stakeholder assist Compact?



# Field Improvements

- Enhance communication & correspondence
  - When using ICOTS
  - With stakeholders involved in compact cases
  - With transferees and sponsors, particularly during the transfer process
- Improve documentation
- Learn how transferee's needs are identified
- Promote supervision success





ICAOS Support



4:22 PM

ICAOS Virtual Assistant

Hi there. Got a question? I'm here to help.

Just now

Accessing On-Demand Trainings

ICAOS Directory Change

Login issues/Password reset/Not receiving emails

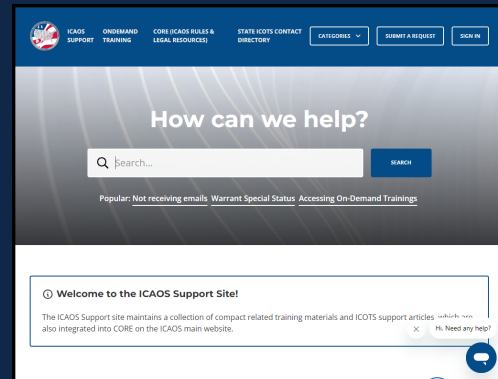
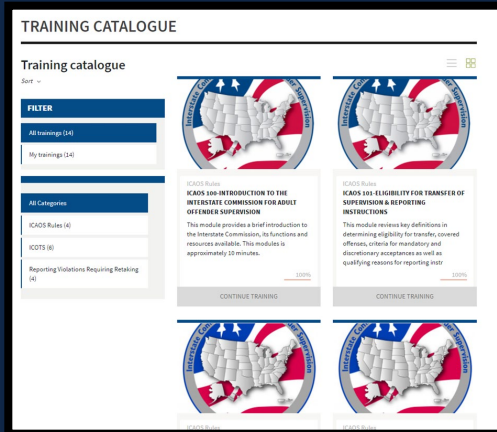
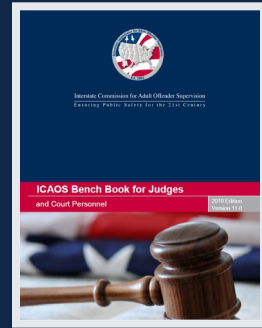
# How can we help?

🔍 Search...

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## 📘 Welcome to the ICAOS Support Site!

The ICAOS Support site maintains a collection of compact related training materials and ICOTS support ar



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# Questions and/or Suggestions?

